

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of

21

**Complete if Known**

Application Number	10/734,212
Filing Date	December 15, 2003
First Named Inventor	CADERA
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	4320-530

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
KM	1	US-5,639,373	06-17-1997	Mahendran et al.	
KM	2	US-6,325,928	12-04-2001	Pedersen et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
KM	3	WO 01/36075	05-25-2001	Zenon		
	4	CA 2 308 230	11-05-2001	Zenon		

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	5	J.K. Edzwald, "Principles and Applications of Dissolved Air Flotation", Wat. Sci. Tech., Vol. 31, No. 3-4, pp. 1-23, 1995.	
KM	6	S. Olsen, "Dissolved Air Flotation/ New Applications in Potable Water Treatment", Malcom Pimie, Inc., (Presented) April 27, 1993.	
	7	Hi-Tech Environmental, "Dissolved Air Flotation", <a href="http://www.hi-techenv.com/DAF.htm">http://www.hi-techenv.com/DAF.htm</a> (September 20, 2002)	
	8	Pan America Environmental, "Dissolved Air Flotation (DAF) Operational Theory, <a href="http://www.panamenv.com/dissolved-air-flotation-theory.html">http://www.panamenv.com/dissolved-air-flotation-theory.html</a> (September 20, 2002)	

Examiner  
Signature

KM

Date  
Considered

3/3/06

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

U.S. PATENT & TRADEMARK OFFICE  
MAY 10 2004